

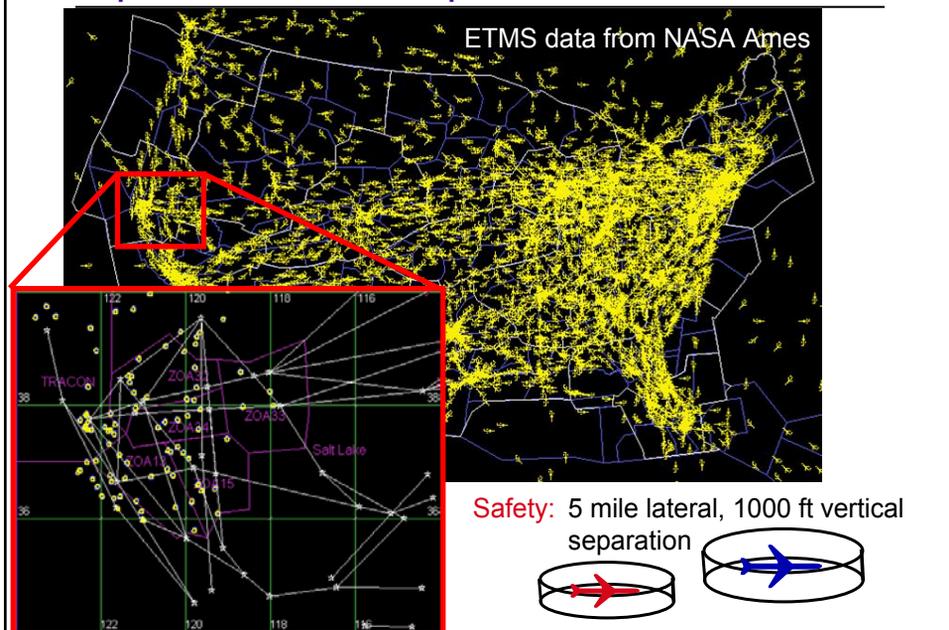
Computational Methods for Analyzing and Controlling Hybrid Systems

Claire Tomlin

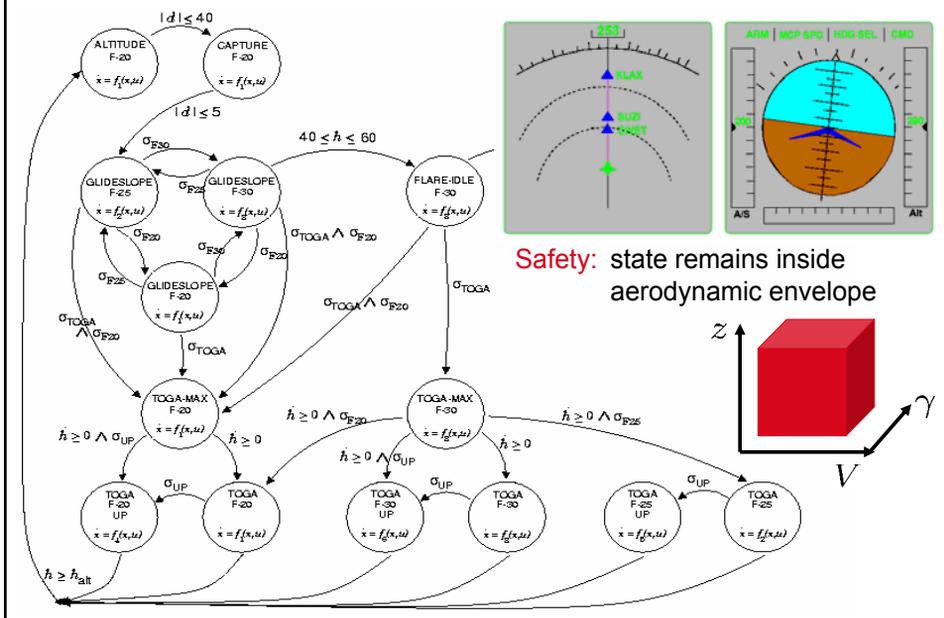


Department of Aeronautics and Astronautics
Department of Electrical Engineering
Stanford University

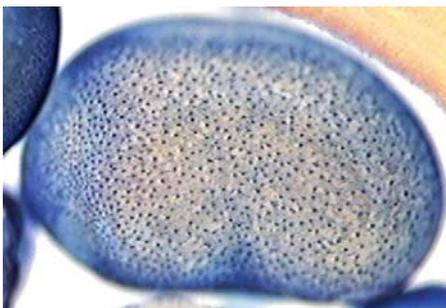
Example: automatic separation assurance in ATC



Example: civil autoland under mode switches

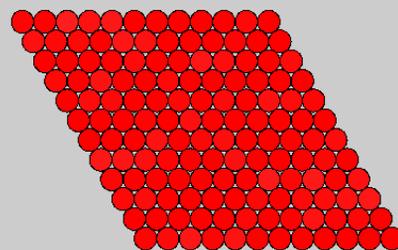


Example: Cell Differentiation in *Xenopus*



Safety: biologically feasible equilibria are reachable

Time = 0.00 sec



Hybrid System Model

$$H = \{S, Init, In, f, Dom, R\}$$

where

$S = Q \cup \mathbb{R}^n$
state space

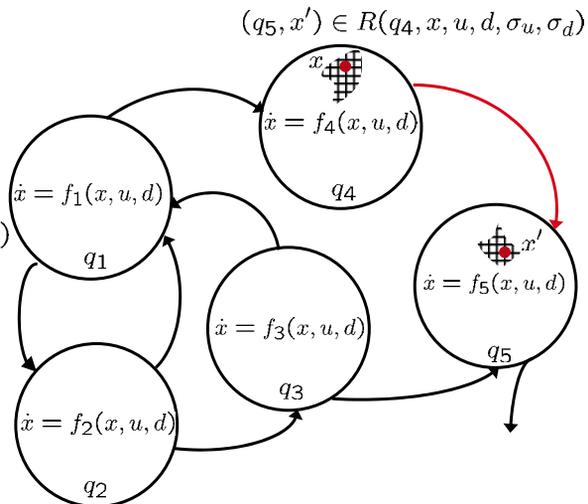
$Init \subseteq S$
initial states

$In = (U \cup D) \cup (\Sigma_u \cup \Sigma_d)$
inputs

$f : S \times In \rightarrow S$
vector field

$Dom \subseteq S$
domain

$R : S \times In \rightarrow 2^S$
transitions



[Lygeros '96, Henzinger '96, Puri & Varaiya '95]

Outline

- Controller synthesis for hybrid systems using differential games

- Algorithm for computing reachable sets and synthesis of controllers

- for continuous systems
- for hybrid systems

- Applications

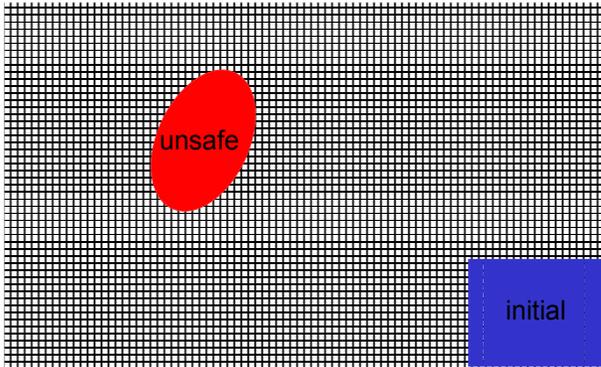
- aircraft collision avoidance
- flight management system design

- Fast overapproximations

- Controller synthesis using predicate abstraction

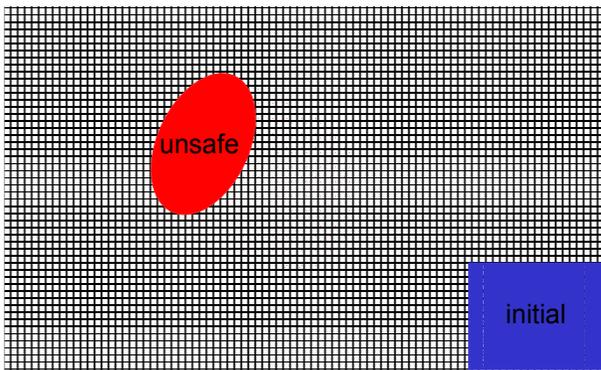
- Analysis of biological regulatory networks

Controller Synthesis



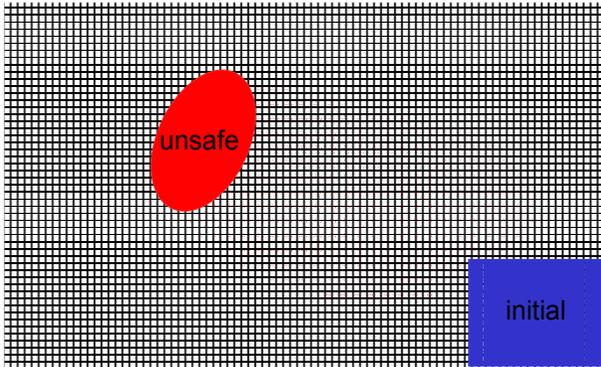
Controller synthesis: to guarantee that a system satisfies a safety property

Controller Synthesis



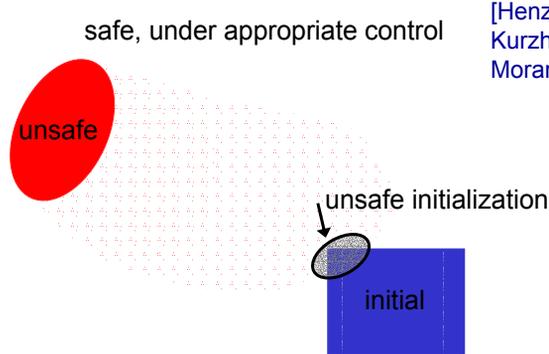
Controller synthesis: to guarantee that a system satisfies a safety property

Controller Synthesis

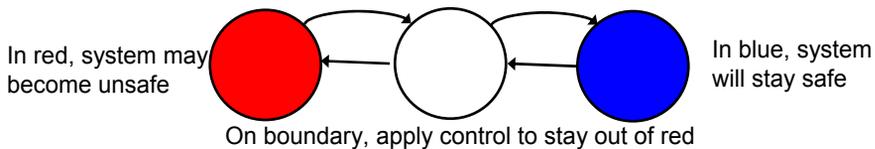


Controller synthesis: to guarantee that a system satisfies a safety property

Controller Synthesis

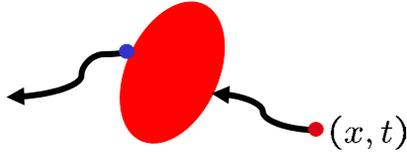


Safety Property can be encoded as a condition on the system's **reachable set of states**



Continuous reach set calculation

$$\dot{x} = f(x, u, d)$$



$$G(0) = \{x : l(x) < 0\}$$

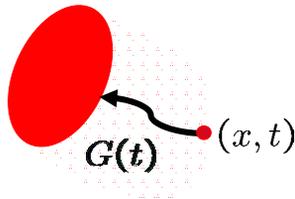
Differential game over $[t, 0]$:

$$V(x, u(\cdot), d(\cdot), t) = l(x(0))$$

$$J(x, t) = \max_u \min_d V(x, u(\cdot), d(\cdot), t)$$

Continuous reach set calculation

$$\dot{x} = f(x, u, d)$$



$G(t)$ is the set of states for which, for all **control actions**, there exists a **disturbance action** which can drive the system to $G(0)$ in at most t

$$G(0) = \{x : l(x) < 0\}$$

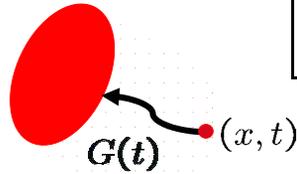
Differential game over $[t, 0]$:

$$V(x, u(\cdot), d(\cdot), t) = l(x(0))$$

$$J(x, t) = \max_u \min_d V(x, u(\cdot), d(\cdot), t)$$

Continuous reach set calculation

$$\dot{x} = f(x, u, d)$$



Computation provides, at each (x, t) :

$$|J(x, t)| \quad \frac{\partial J(x, t)}{\partial x}$$

↑ Distance to boundary ↑ Vector pointing to boundary

Theorem [Computing $G(t)$]:

$$G(t) = \{x : J(x, t) < 0\}$$

where $J(x, t)$ is the unique Crandall-Evans-Lions viscosity solution to:

$$-\frac{\partial J(x, t)}{\partial t} = \min\{0, \max_u \min_d \frac{\partial J(x, t)}{\partial x} f(x, u, d)\}$$

[Mitchell, Bayen, Tomlin '02, Tomlin, Lygeros, Sastry '00]

Continuous reach set calculation

Proof [Mitchell, Bayen, Tomlin '02]:

based on results of Evans and Souganidis (1984) for

$$-\frac{\partial J(x, t)}{\partial t} = \max_u \min_{\tilde{d}} \frac{\partial J(x, t)}{\partial x} \tilde{f}(x, u, d)$$

Set $\tilde{d} = \{d, \underline{d}\}$ where $\underline{d} : [t, 0] \rightarrow [0, 1]$

and define $\tilde{f}(x, u, \tilde{d}) = \underline{d}f(x, u, d)$

$$\begin{aligned} \max_u \min_{\tilde{d}} \frac{\partial J(x, t)}{\partial x} \tilde{f}(x, u, \tilde{d}) &= \max_u \min_d \min_{\underline{d} \in [0, 1]} \frac{\partial J(x, t)}{\partial x} \underline{d}f(x, u, d) \\ &= \min\{0, \max_u \min_d \frac{\partial J(x, t)}{\partial x} f(x, u, d)\} \end{aligned}$$



Continuous reach set calculation

Proof [Mitchell, Bayen, Tomlin '02]:

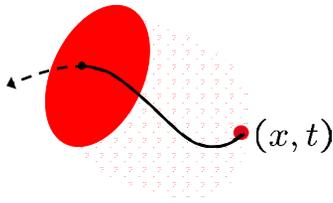
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Numerical computation of reach sets

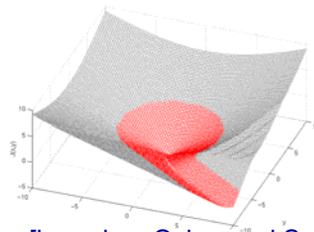
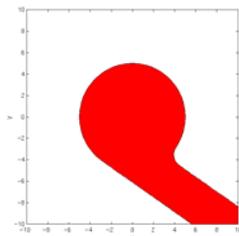
Create a level set function $J(x, t)$ such that:

- Boundary of region is defined implicitly by $J(x, t) = 0$
- $|J(x, t)|$ is the distance from x to the boundary at time t
- J is negative inside region and positive outside

Propagating regions with level sets:

- In our problem, the evolution of $J(x, t)$ is governed by:

$$-\frac{\partial J(x, t)}{\partial t} = \max_u \min_d \frac{\partial J(x, t)}{\partial x} F(x, u, d)$$



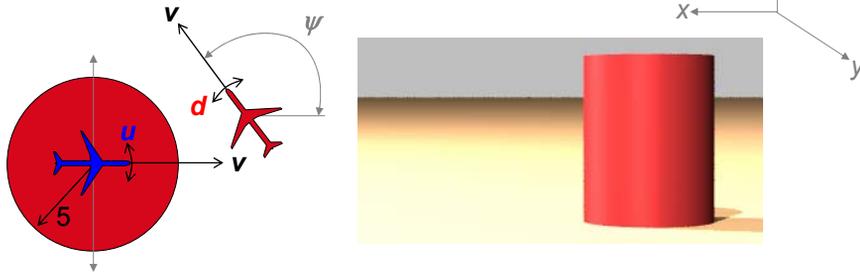
[based on Osher and Sethian, 1988]

Numerical computation of reach sets

Level set methods:

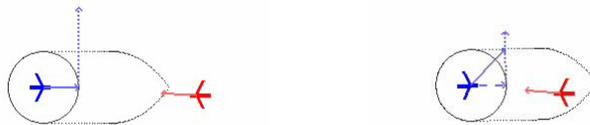
- Convergent numerical algorithms to compute viscosity solution
- Non-oscillatory, high accuracy spatial derivative approximation
- Stable, consistent numerical Hamiltonian
- Variation diminishing, high order, explicit time integration

Example (2 player zero sum game):



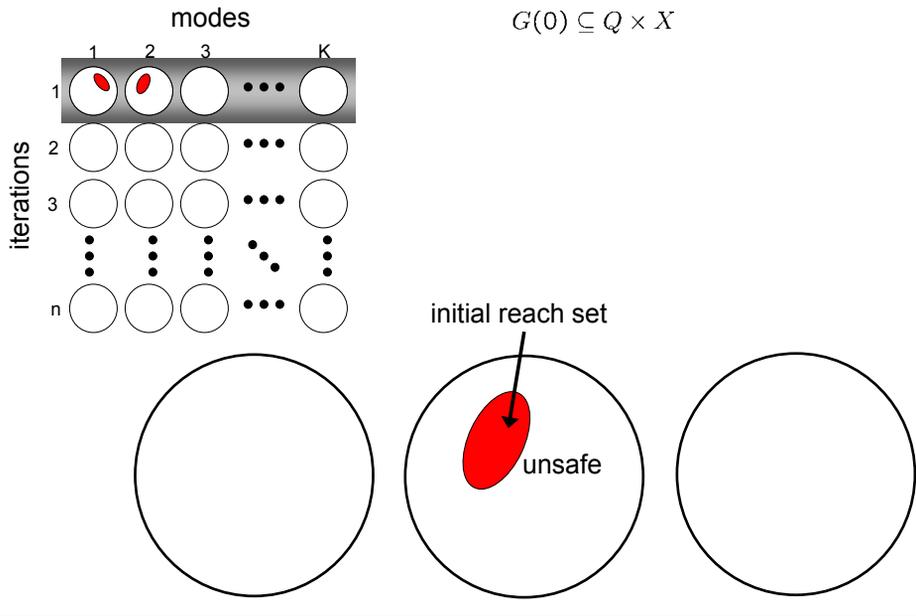
[Mitchell, Tomlin '01]

Collision Avoidance Control

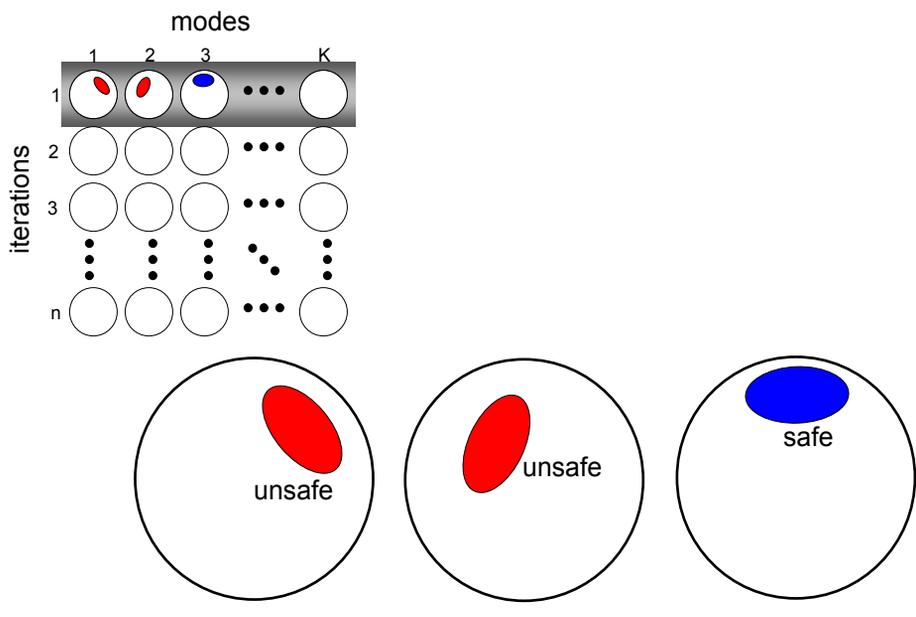


[Mitchell, Tomlin '01]

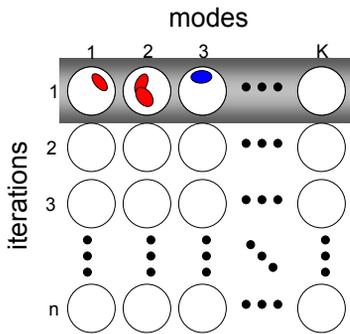
Computing Reach Sets for Hybrid Systems



Reach Sets: Initialize



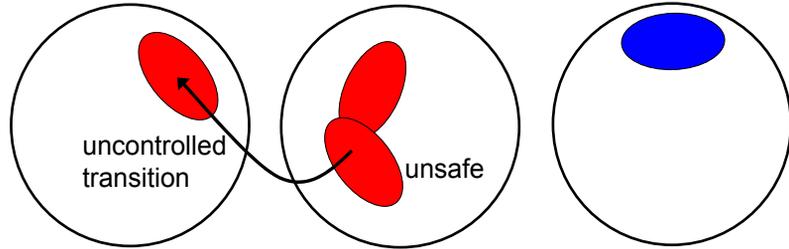
Reach Sets: uncontrollable predecessor



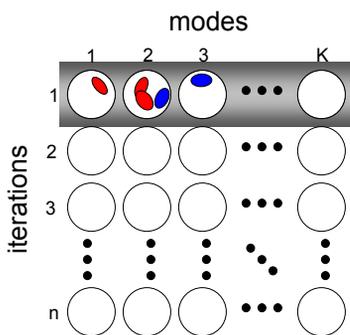
$$Pre_d(K^c) = \{(q, x) : \forall(u, \sigma_u) \exists(d, \sigma_d)\}$$

$$R(q, x, u, d, \sigma_u, \sigma_d) \cap K^c \neq \emptyset\} \cup K^c$$

$K \subseteq Q \times X$ "safe"



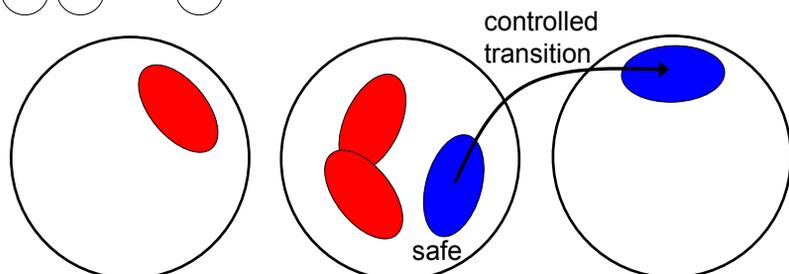
Reach Sets: controllable predecessor



$$Pre_u(K) = \{(q, x) : \exists(u, \sigma_u) \forall(d, \sigma_d)\}$$

$$R(q, x, u, d, \sigma_u, \sigma_d) \subseteq K\}$$

$K \subseteq Q \times X$ "safe"



Reach Sets: Variational Inequality

States which reach G without hitting E first:

$$Reach(G, E) = \{(q, x) : \forall u \exists d \exists t \geq 0 (q, x(t)) \in G \\ (q, x(s)) \in Dom \setminus E \ \forall s \in [0, t]\}$$

where

$$Reach(G, E) = \{x : J_G(x, t) \leq 0\}$$

$$E = \{x : J_E(x) \leq 0\}$$

where

$$\frac{\partial J_G(x, t)}{\partial t} + \min\{0, \max_u \min_d \frac{\partial J_G(x, t)}{\partial x} f(x, u, d)\} = 0$$

subject to $J_G(x, t) \geq -J_E(x)$

[Mitchell, Tomlin '01, Tomlin, Lygeros, Sastry '00]

Reach Sets: Iterate

Initialize: $W^0 = G(0)^c, W^1 = 0, i = 0$

While $W^i \neq W^{i+1}$ do

$$W^{i-1} = W^i \setminus Reach(Pre_d((W^i)^c), Pre_u(W^i))$$

$i = i - 1$

end while

$$G_1(t) = \{x : J_1(x, t) < 0\}$$

$$G_2(t) = \{x : J_2(x, t) < 0\}$$

$G_1 \cup G_2 = \min\{J_1, J_2\}$
 $G_1 \cap G_2 = \max\{J_1, J_2\}$
 $G_1 \setminus G_2 = \max\{J_1, -J_2\}$

[Mitchell, Tomlin '01, Tomlin, Lygeros, Sastry '00]

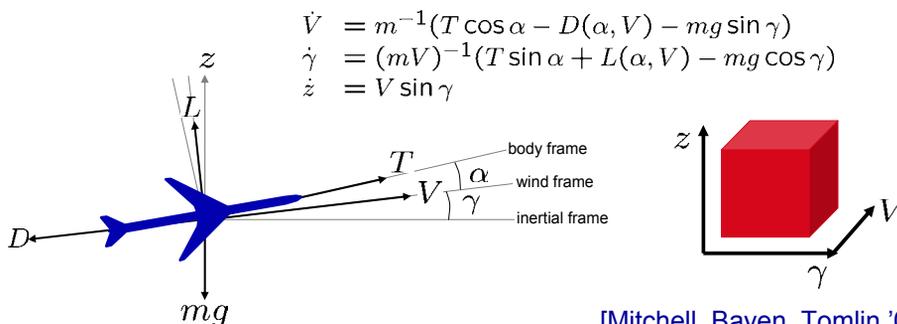
Outline

- Controller synthesis for hybrid systems using differential games
 - Algorithm for computing reachable sets and synthesis of controllers
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 - aircraft collision avoidance
 - Fast overapproximations
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Example: Aircraft Autolander

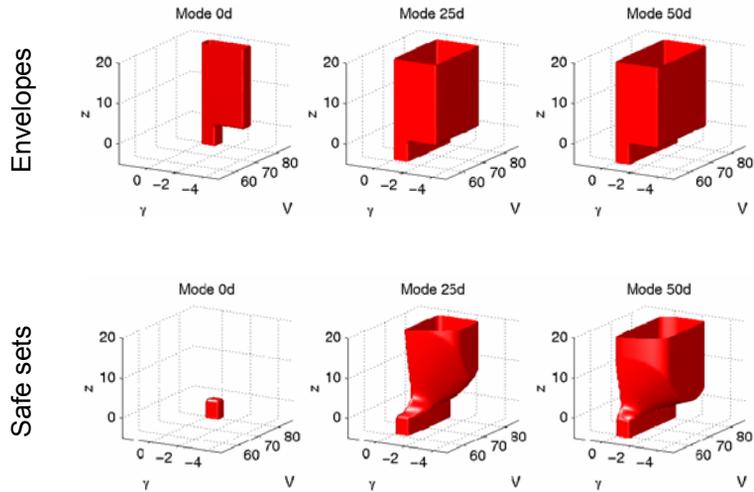
Aircraft must stay within safe flight envelope during landing:

- Bounds on velocity (V), flight path angle (γ), height (z)
- Control over engine thrust (T), angle of attack (α), flap settings
- Model flap settings as discrete modes of hybrid automata
- Terms in continuous dynamics may depend on flap setting

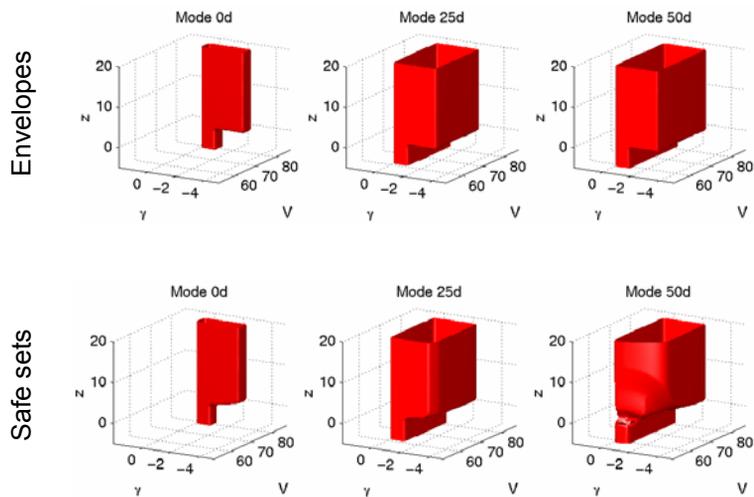


[Mitchell, Bayen, Tomlin '01]

Landing Example: No Mode Switches



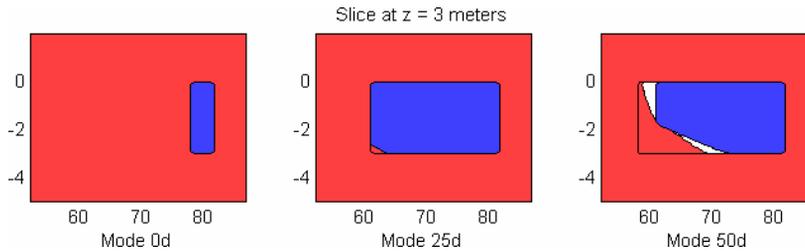
Landing Example: Mode Switches



Landing Example: Synthesizing Control

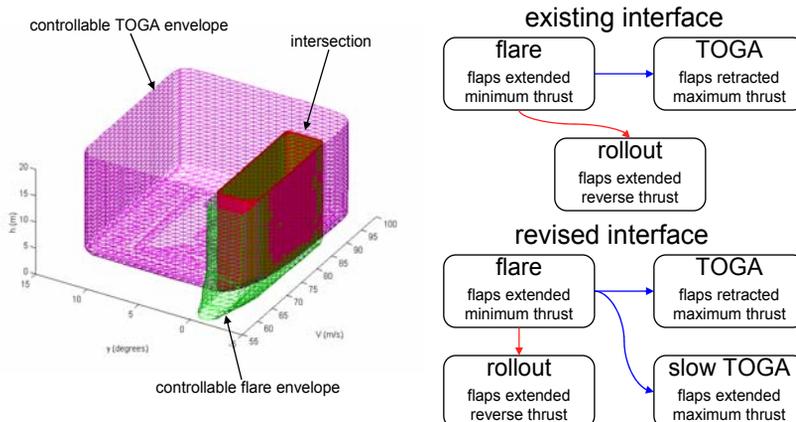
For states at the boundary of the safe set, results of reach-avoid computation determine

- What continuous inputs (if any) maintain safety
- What discrete jumps (if any) are safe to perform
- Level set values and gradients provide all relevant data



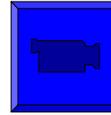
Application to Autoland Interface

- Controllable flight envelopes for landing and Take Off / Go Around (TOGA) maneuvers may not be the same
- Pilot's cockpit display may not contain sufficient information to distinguish whether TOGA can be initiated

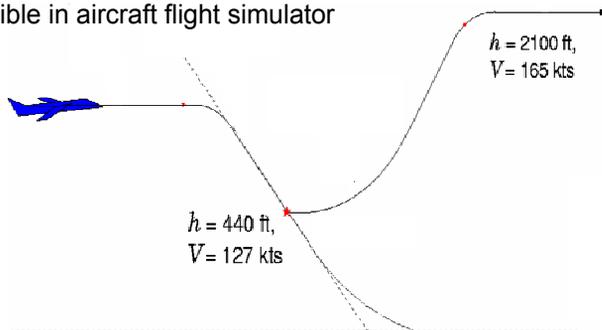


Aircraft Simulator Tests

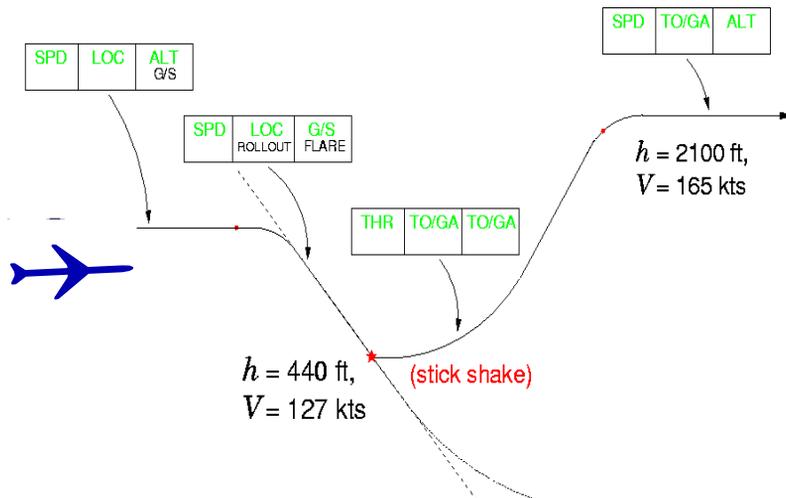
- Setup
 - Commercial flight simulator, B767 pilot
 - Digital video of primary flight display
- Maneuver
 - Go-around at low speed, high descent rate
- Goal
 - Determine whether problematic behavior predicted by our model is possible in aircraft flight simulator



(movie)



Aircraft Simulator Results

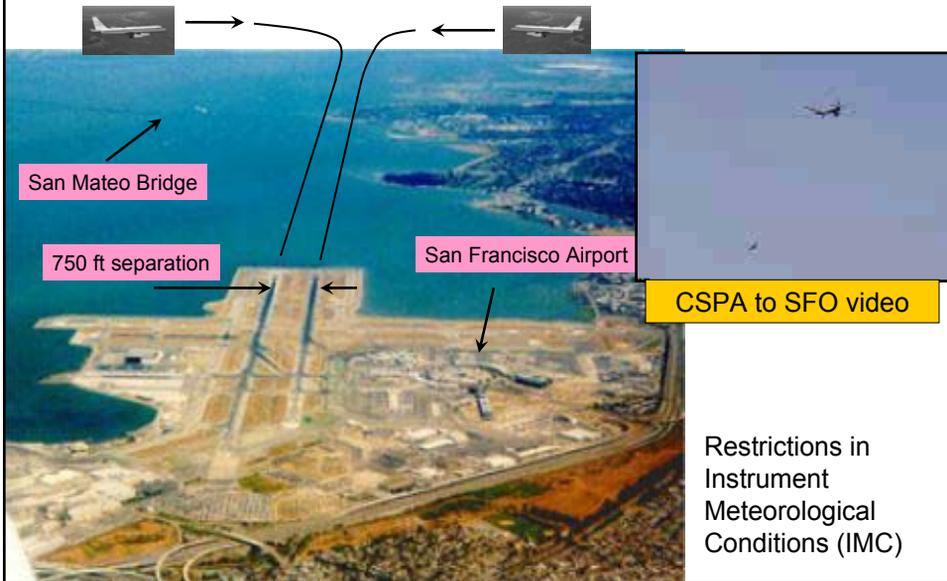


Produced unexpected behavior

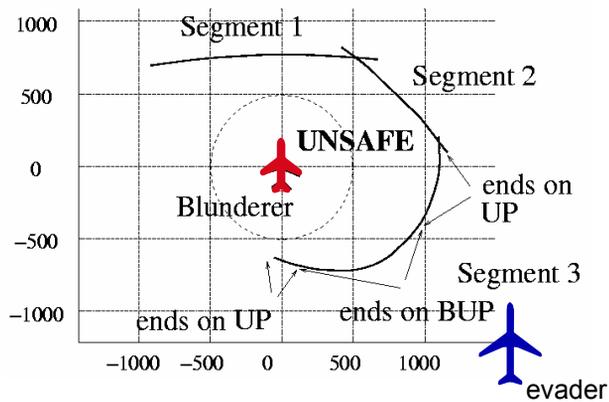
Non-standard procedure; Unable to duplicate

Validated types of problems addressed by this method

Example: Closely Spaced Parallel Approaches



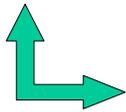
Example: Closely Spaced Parallel Approaches



Three **emergency escape maneuvers (EEMs)**:

1. Evader accelerates straight ahead
2. Evader accelerates, turns to the right 45 deg
3. Evader turns to the right 60 deg

Tested on the Stanford DragonFly UAVs

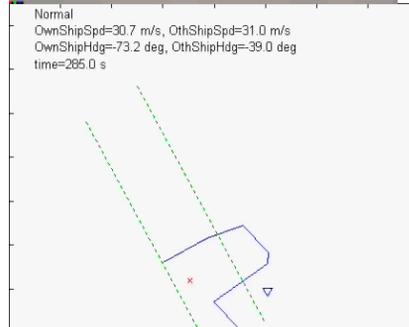
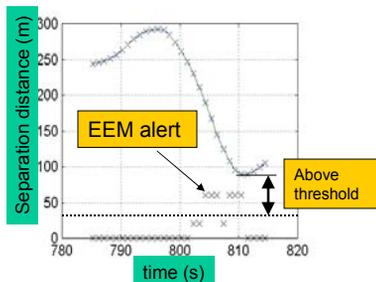
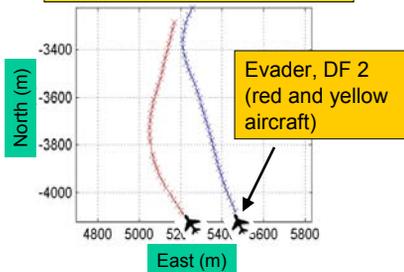


[Jang, Teo, Tomlin]

Flight Demo 1 -- Sept 2003

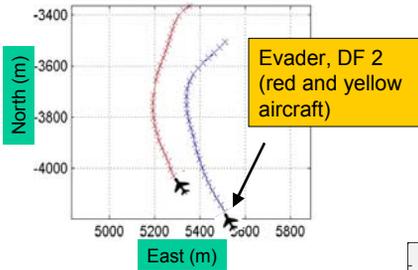
Accelerate and turn EEM

DF 2, the evader, is the larger blob

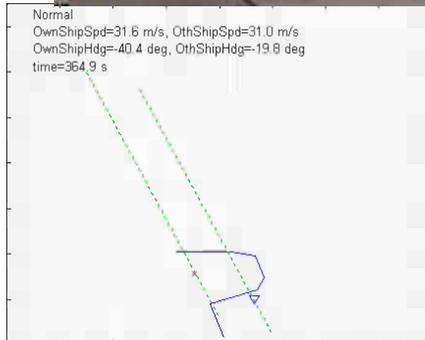
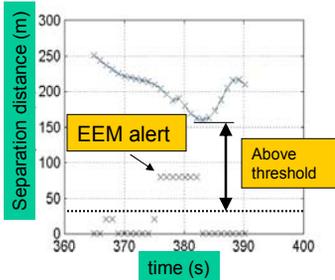
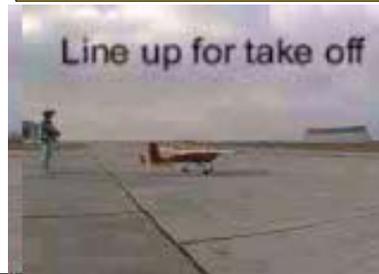


Flight Demo 2 – Sept 2003

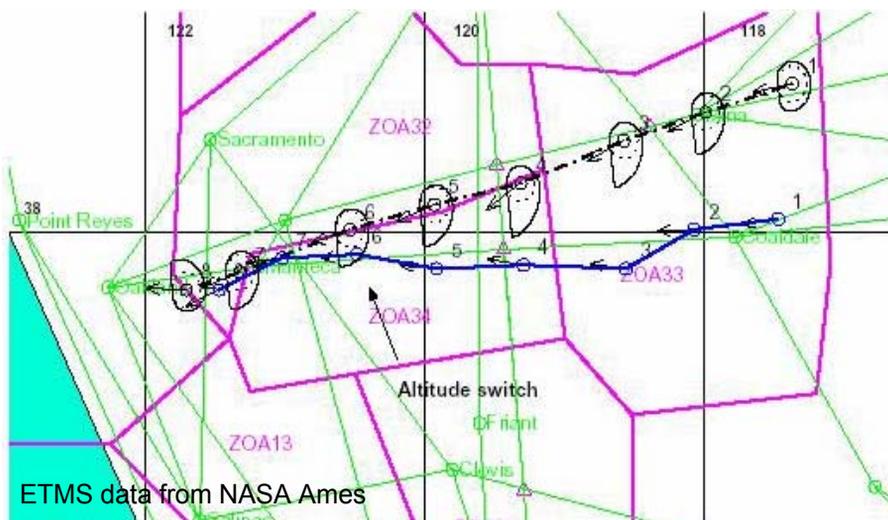
Coast and turn EEM



DF 2, the evader, is the larger blob



Collision Avoidance Control



Overapproximating Reachable Sets

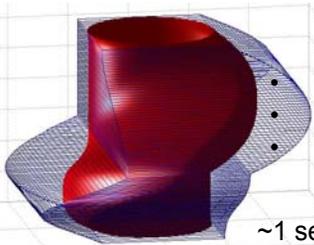
Exact:
$$\frac{\partial J(x, t)}{\partial t} + \min\{0, \max_u \min_d \frac{\partial J(x, t)}{\partial x} f(x, u, d)\} = 0$$

Approximate:
$$\frac{\partial J^+(x, t)}{\partial t} + \min\{0, \max_u \min_d \frac{\partial J^+(x, t)}{\partial x} f(x, u, d)\} \leq \mu(t)$$

Overapproximative reachable set:

$$V^+(\tau) \equiv \{x \mid J^+(x, \tau) \leq \int_0^\tau \mu(t) dt + \max_{x(0)} J^+(x(0), 0)\}$$

[Khrustalev, Varaiya, Kurzanski]



- Polytopic overapproximations for nonlinear games
- Subsystem level set functions
- “norm-like” functions with identical strategies to exact

[Hwang, Stipanovic, Tomlin]

~1 sec on 700MHz Pentium III (vs 4 minutes for exact)

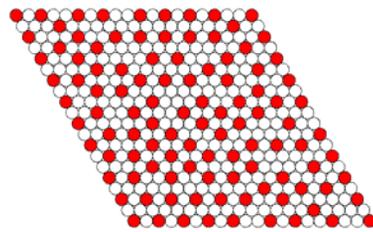
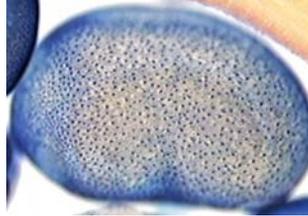
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Delta-Notch Signaling Pathway

Observables:

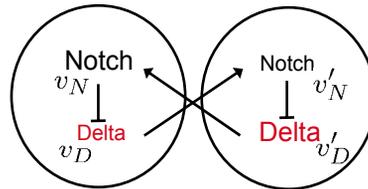
- Transmembrane proteins: direct contact required
- **Notch**
 - Receptor → production promoted by localized high Delta concentrations
- **Delta**
 - Ligand → activates Notch, production promoted by low intracellular Notch concentrations
- Produces lateral inhibition
- **Delta** → hair



["Deconstructing Hairy", Ghosh, Tomlin '01]

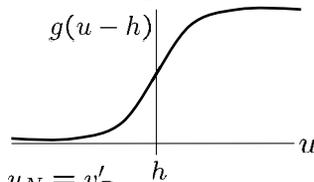
Previous work

- Accepted "influence" model:



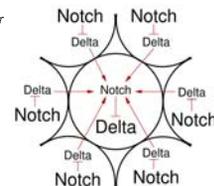
- Reaction-diffusion [Collier 96, Marnellos 00]:

$$g(u - h) = 0.5 \left(1 + \frac{u - h}{\sqrt{1 + (u - h)^2}} \right)$$

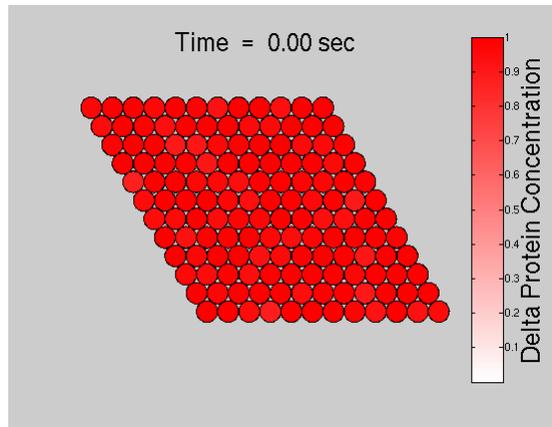


$$\begin{aligned} \dot{v}_N &= \lambda_N v_N + g(u_N - h_N) R_N & \text{where } u_N &= v'_D \\ \dot{v}_D &= \lambda_D v_D + g(u_D - h_D) R_D & \text{where } u_D &= -v_N \end{aligned}$$

- Parameters λ_i, h_i, R_i are unknown

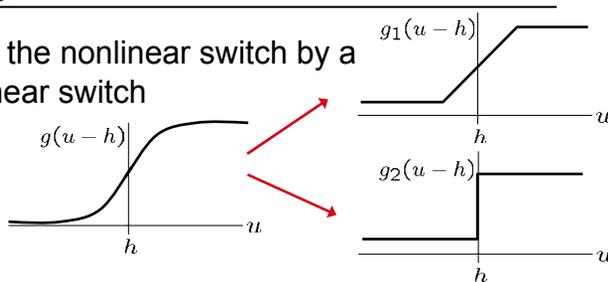


Parameters may be hard to identify



Hybrid Model of a Cell

Idea: approximate the nonlinear switch by a piecewise linear switch

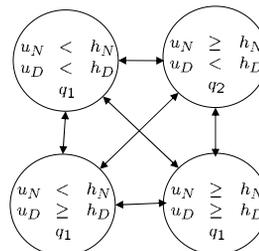


- For example, using g_2 , each biological cell has four discrete states:

- o Notch off/delta off
- o Notch on/delta off
- o Notch off/delta on
- o Notch on/delta on

$$\dot{v}_N = \lambda_N v_N + g(u_N - h_N) R_N$$

$$\dot{v}_D = \lambda_D v_D + g(u_D - h_D) R_D$$



[Ghosh, Tomlin '01]

Compute reach sets for the (large) hybrid model

Idea:

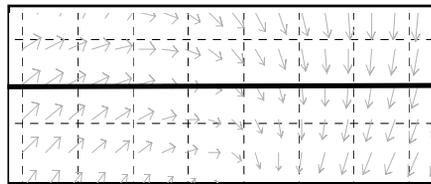
- compute reach sets **symbolically**, in terms of parameters λ_i, h_i, R_i from the biologically feasible equilibrium patterns
- determine constraints on these parameters and initial conditions so that these biologically feasible equilibria are reachable

Problem: large state space

- 1 cell: 2 continuous states, 4 discrete states
- 2 cell: 4 continuous states, 16 discrete states
- 4 cell: 8 continuous states, 256 discrete states
- 9 cell: 18 continuous states...

Reach set calculation for piecewise affine systems

A simple example:



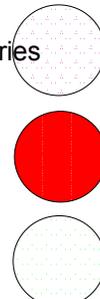
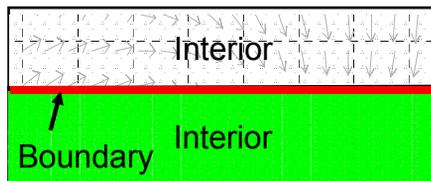
$$\dot{x} = A_1x + b_1$$

$$x_1 + \alpha_1x_2 + \beta_1 = 0$$

$$\dot{x} = A_2x + b_2$$

$A_i, b_i, \alpha_i, \beta_i$
are symbolic
 A_i diagonal

Step 1: Separate partitions into interiors and boundaries

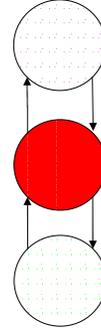
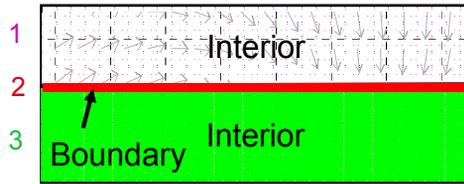


[Ghosh, Tomlin '04]

Reach set calculation for piecewise affine systems

Step 2: Compute transitions between modes. For example, in mode 1:

- Determine direction of flow across the boundary
- Compute sign of Lie derivative of function describing boundary, with respect to mode 1 dynamics: $\mathcal{L}_{A_1 x + b_1}(x_1 + \alpha_1 x_2 + \beta_1)$
- If $\mathcal{L} < 0$ then flow is from mode 1 to mode 2
- If $\mathcal{L} > 0$ then flow is from mode 2 to mode 1
- If $\mathcal{L} = 0$ then flow remains on boundary

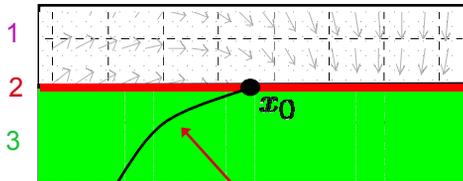


[Ghosh, Tomlin '04]

Reach set calculation for piecewise affine systems

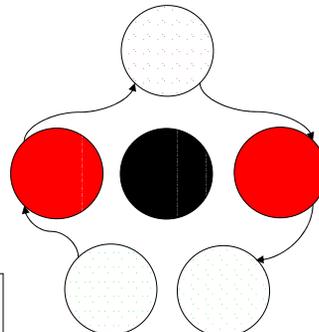
Step 3: Subpartition modes that have more than one exit transition.

- In Mode 2, split the mode at the point of intersection or inflexion (where $\mathcal{L} = 0$)
- In Mode 3, partition between those states which remain in 3 and those which enter mode 2. The separation line (or surface) is the analytical solution of the differential equations of the mode passing through the separation point.



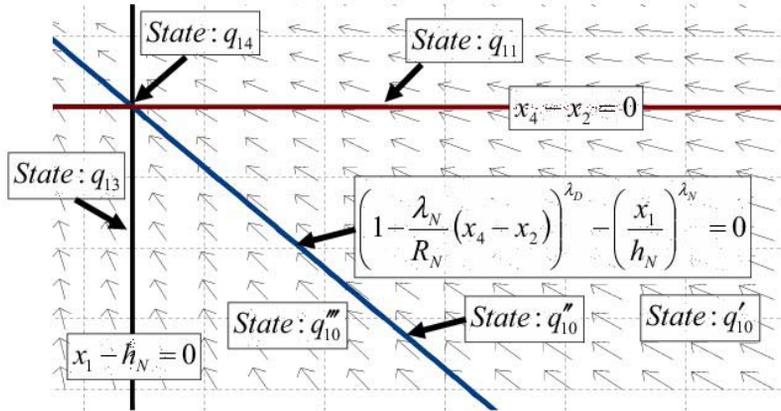
$$x = \exp(A_2 t)x_0 + \int_0^t \exp(A_2 \tau)b_2 d\tau$$

time t is eliminated to form a closed form polynomial expression \rightarrow $x_1^a + x_2^b = c$
 $x_1 - x_3 = 0$

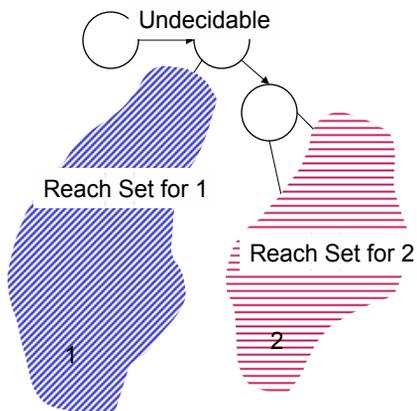


[Ghosh, Tomlin '04]

Reach set calculation for piecewise affine systems



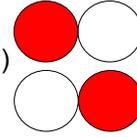
Resulting discrete transition system



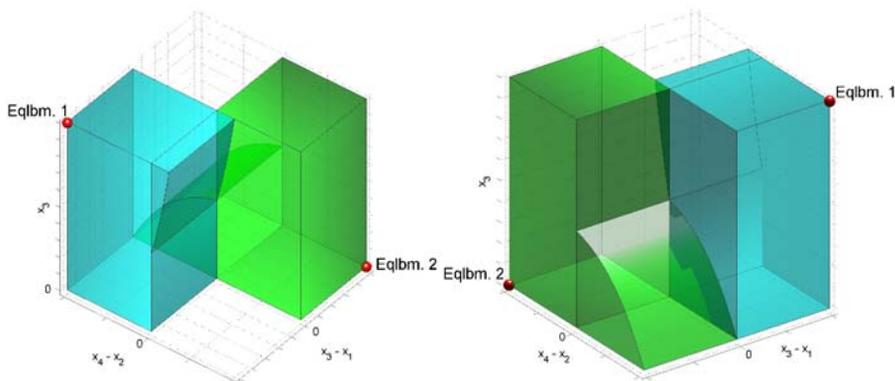
Even if a bisimulation cannot be computed, the algorithm constructs an abstraction from which an underapproximation of the backwards reachable set from the equilibria can be determined.

Reach Set Results: Two Cell Automaton

- 4 continuous variables: x_1, x_3 (Delta); x_2, x_4 (Notch)
- 16 discrete states
- 2 biologically observed equilibria:
 - One cell has high Delta protein and low Notch and the other cell has low Delta and high Notch protein, and the converse
- Backward reachable set computed from both equilibria
- Observations:
 - Conditions on variables: $h_D, h_N : -\frac{R_N}{\lambda_N} < h_D \leq 0 \wedge 0 < h_N \leq \frac{R_D}{\lambda_D}$
 - All initial conditions that satisfy $x_3 > x_1$ AND $x_4 < x_2$ converge to one equilibrium and all that satisfy $x_3 < x_1$ AND $x_4 > x_2$ converge to the other
 - The separatrix $x_3 = x_1$ separating the reach sets is clearly visible in the two dimensional projection of the reach sets on the x_1, x_3 axes



Visualization of Reach Sets for 2-cell



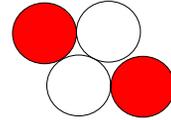
Implementation:

- Symbolic/string manipulations in MATLAB
- Decision procedure on polynomials done in QEPCAD

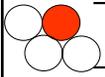
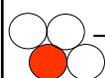
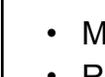
[Ghosh, Tomlin '04]

Reach Set: Four Cell Automaton

- 8 continuous variables: x_1, x_3, x_5, x_7 (Delta)
 x_2, x_4, x_6, x_8 (Notch)



- 256 discrete states
- 3 possible equilibria:

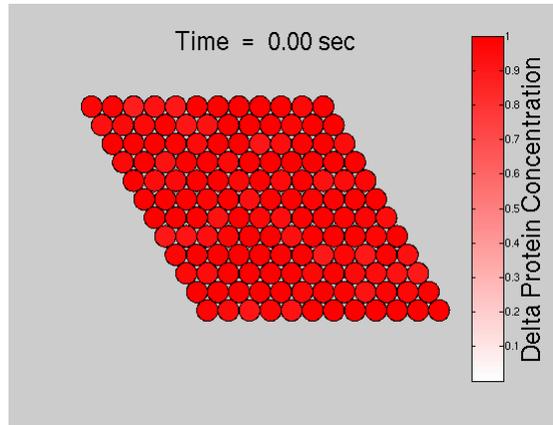
- 
 - cells 1 and 4 have high Delta levels and low Notch levels, and cells 2 and 3 have high Notch levels and low Delta levels
- 
 - cell 2 has high Delta levels and low Notch levels at steady state, and all the other cells have low Delta levels and high Notch levels
- 
 - cell 3 has high Delta concentration and low Notch concentration at steady state and the other three cells have low Delta levels and high Notch levels

- Model-checker: 8 hours CPU time on Pentium III 500 MHz
- Resultant reach set is complex and difficult to visualize

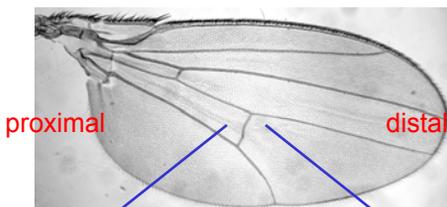
Reach Set: Four Cell Automaton

- Interesting observations:
 - Among the hybrid states that are completely reachable, fourteen states satisfy the constraint $x_7+x_3+x_1-hN < 0$ AND $x_7+x_5+x_1-hN < 0$ AND $x_5+x_3-hN < 0$. This implies that for all Notch protein levels except $-hD \geq x_2$ AND $-hD \geq x_4$ AND $-hD \geq x_6$, if the Delta levels satisfy the constraint, then the equilibrium will be attained.
 - It is observed that all hybrid states with $-hD < x_2$ AND $x_5+x_3 < hN$ AND $x_7+x_3+x_1 \geq hN$ are completely unreachable. This implies that regardless of the Notch protein levels of cells 2, 3 and 4, if the Notch protein concentration of cell 1 and the Delta protein levels of all four cells satisfy the above constraints, then the equilibrium will be unreachable.

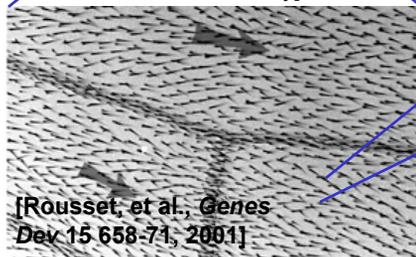
Simulation using viable parameters and IC



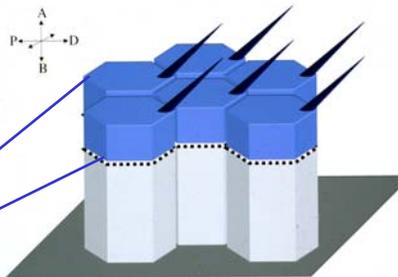
Drosophila wing epithelium



[Courtesy Dali Ma,
Stanford University]



- *Drosophila* wing hairs point distally, virtually error free
- Planar Cell Polarity (PCP)

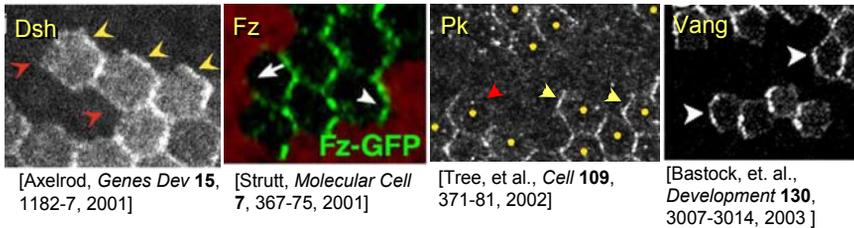


Human pathology:
cochlear hair cells
spina bifida
oncogenic Wnt pathway

Amonlirdviman, Axelrod, Tomlin '04

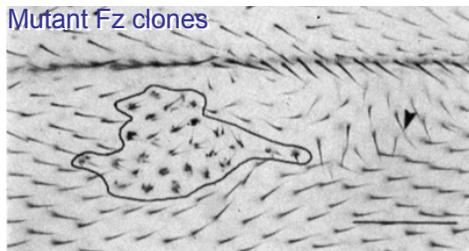
Signaling Molecules

- Includes *Frizzled (Fz)*, *Dishevelled (Dsh)*, *Prickle (Pk)*, *Flamingo (Fmi)* and *Van Gogh (Vang)*
- *Dsh* and *Fz* localize on the distal portion of each cell
- *Pk* and *Vang* localize on the proximal portion of each cell
- Hair grows at *Dsh* localization



Mutant Wings

- Loss of *Fz* disrupts polarity in distal non-mutant cells
- Loss of *Vang* disrupts polarity in proximal non-mutant cells



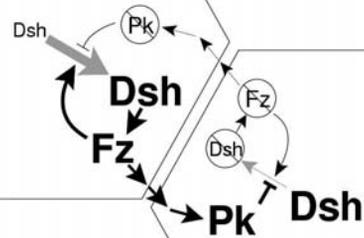
[Vinson and Adler, *Nature* **329**, 549-51, 1987]



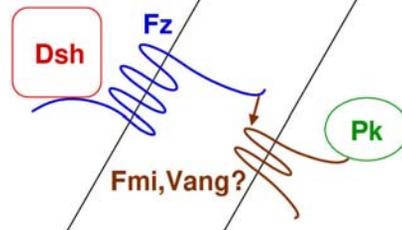
[Taylor, et al., *Genetics* **150**, 199-210, 1998]

Influence model

- **Fz** promotes recruitment of **Dsh** to a membrane
- **Dsh** stabilizes **Fz** localization
- **Fz** acts indirectly to promote the localization of **Vang** and **Pk** on the membrane of a neighboring cell
- **Pk** (and **Vang**) suppresses the recruitment of **Dsh** to a membrane
- Network amplifies unknown directional cue

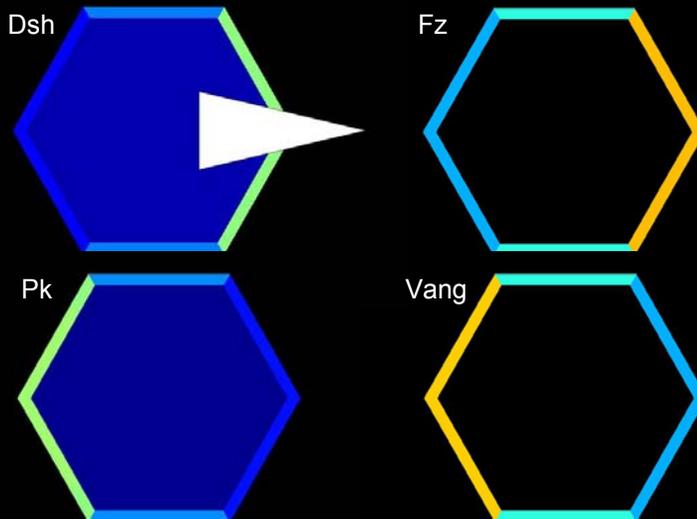


[Tree, et al., *Cell* **109**, 371-81, 2002]



Wild-type Numerical Results

Periodic "infinite" array of cells

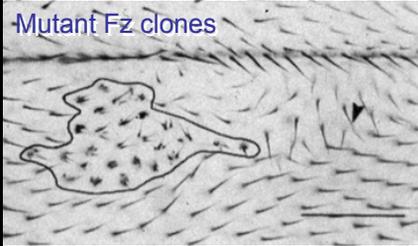


[Amonlirdviman, Axelrod, Tomlin '04]

Loss-of-Fz Numerical Results

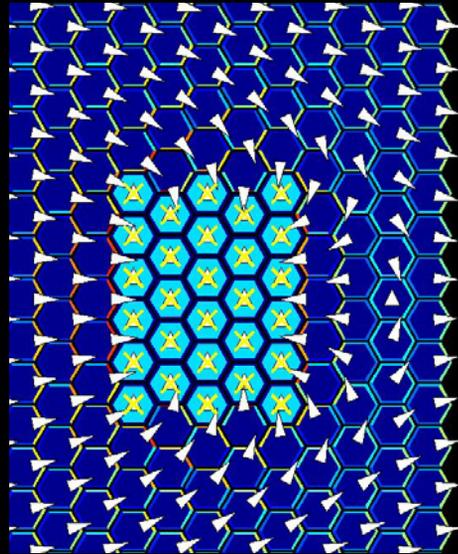
Dsh Distribution w/ Resulting Hair Pattern

Domineering non-autonomy distal of cloned mutant cells



[Vinson and Adler, *Nature* 329, 549-51, 1987]

X Fz mutant clone



[Amonlirdviman, Axelrod, Tomlin '04]

Loss-of-Pk (Vang) Numerical Results

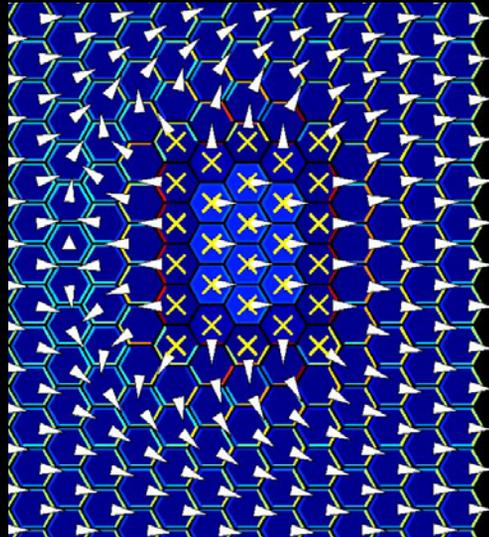
Fz Distribution w/ Resulting Hair Pattern

Domineering non-autonomy proximal of cloned mutant cells



[Taylor, et al., *Genetics* 150, 199-210, 1998]

X Vang mutant clone

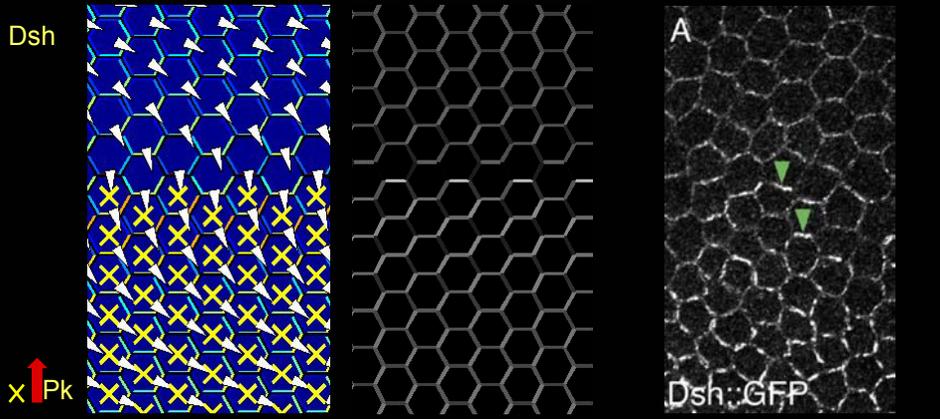
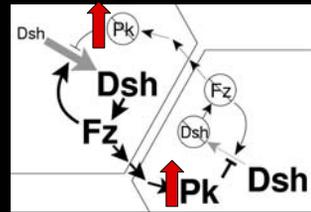


[Amonlirdviman, Axelrod, Tomlin '04]

Biological Insights

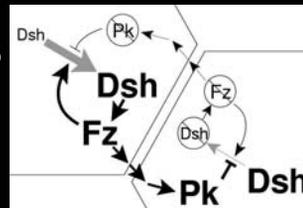
- Demonstrates sufficiency
- Explains even non-intuitive results

Suppose you overexpress Pk in part of the wing:

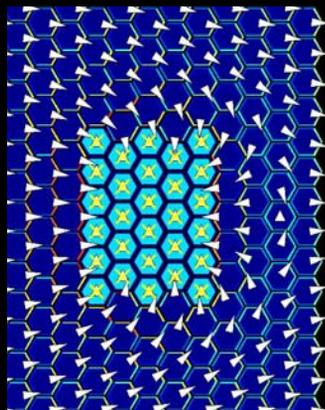


Biological Insights

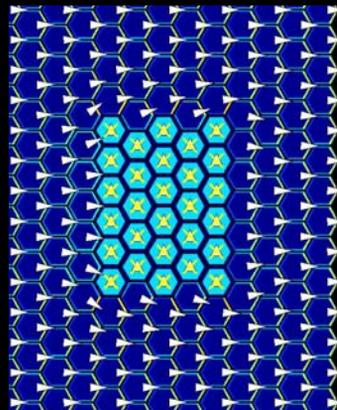
- Suggests mechanism for explaining phenotypes of different mutant Fz alleles – experimentally verifiable



Fz non-autonomous allele –
All Fz function removed

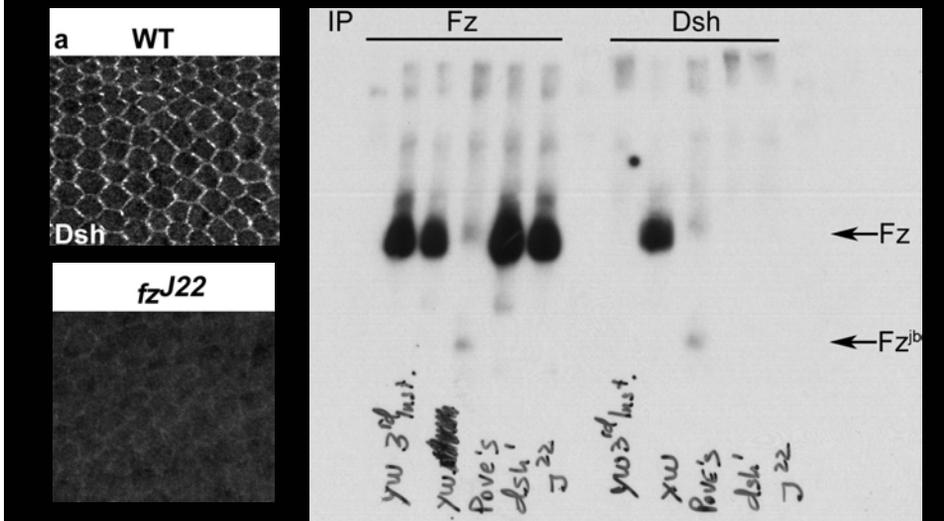


Fz autonomous allele –
Fz–Dsh interaction reduced to 0%



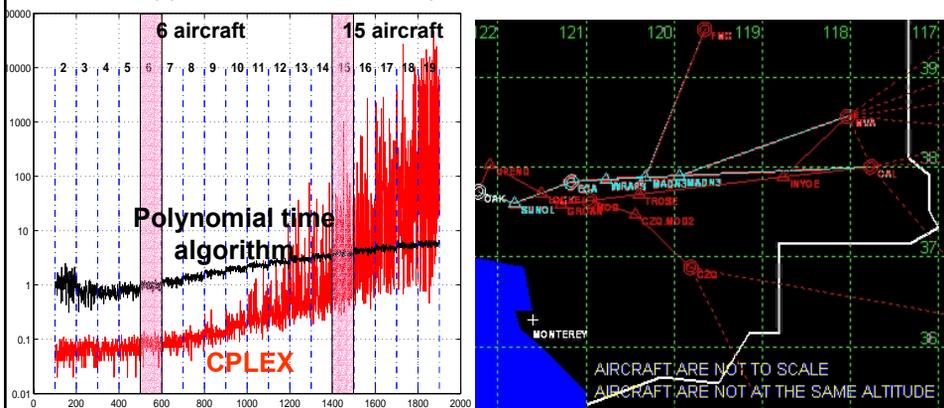
Biological Insights

- Suggests mechanism for explaining phenotypes of different mutant Fz alleles – experimentally verifiable



Other research: Approximation algorithms for hybrid systems

- Approximation algorithms for hybrid trajectory optimization
- Applied to routing/scheduling aircraft in vicinities of airports
- Results [Bayen, Zhang, Ye, Tomlin '03,'04]:
 - 5-approximation for minimum sum of arrival times
 - 3-approximation for makespan



Other research: Decentralized optimization

Decentralized optimization using dual decomposition:

primal Minimize $\sum_{i=1}^N f_i(x_i) + h(x_1, x_2, \dots, x_N)$
Subject to $x_i \in \mathcal{D}_i, i = 1, \dots, N$



dual Maximize $g(\mu)$, where
$$g(\mu) = \sum_{i=1}^N \inf_{x_i \in \mathcal{D}_i} \{f_i(x_i) - \mu_i^T x_i\} + \inf_{\tilde{x} \in \mathbb{R}^{n_N}} \{h(\tilde{x}) + \mu^T \tilde{x}\}$$



[Raffard, Boyd, Tomlin '04]

Summary

- The development of a reach set toolkit for hybrid systems:
 - Software C++; Matlab/QEPCAD
- The toolkit is useful for:
 - Engineering:** when (not) to switch modes, which mode(s) to switch to, and provides a set-valued feedback control law to remain in safe set
 - Biology:** can be used in reverse engineering of biological circuits, ie. used to extract ranges of parameters, determine feasibility of a particular influence connection – often as much system identification as modeling
- New algorithms, tools for (fast) analysis in high dimensions
 - Geometric approximation
 - Approximation algorithms based on optimization relaxations
 - Decentralization

Collaborators

Students and Former Students:

Ian Mitchell

Alex Bayen

Meeko Oishi

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Jung Soon Jang

Inseok Hwang

Hamsa Balakrishnan

Robin Raffard

Ronojoy Ghosh

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Research Associates:

Dusan Stipanovic

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Jeff Axelrod

Harley McAdams

Lucy Shapiro

Tobias Meyer

Jim Ferrell